

# The role of the auditor and lessons learnt

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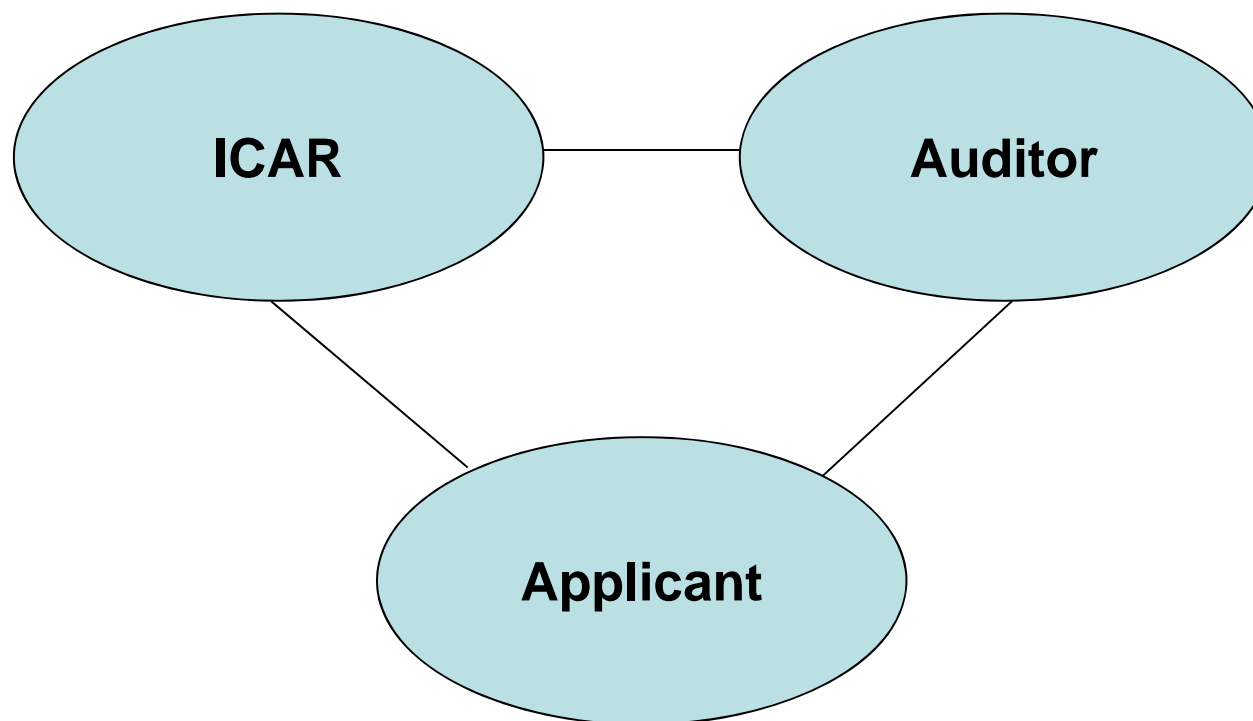
# Content

- The applicant
- The auditor
- IQC-questionnaire
- Lessons learnt



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# Stakeholder



# The applicant

- I myself was responsible for the Austrian application.
- In Austria we have an umbrella-organisation with 8 members, 6 labs and 1 central database.
- To get all the necessary information from all member-organisations was a lot of work!



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# The applicant

- Personally I have years of experiences with ISO 17020 (inspection) and 17025 (laboratory).
- My experience is, that there are substantial differences in the point of view between the different auditors.



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# The auditor

Job definition:

1. To proof, that the recording system of the applicant meets the standards of ICAR.
2. To show in which points this might be not the case.
3. To make a proposal for the board if the applicant should be granted the IQC (or not).



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# The auditor

- Every auditor has an individual background.
- Every applicant has specific national requirements.
- Therefore:  
In order to be fair to every applicant, the ICAR guidelines should be the only basis for the auditor`s work.



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# ICQ-Questionnaire

- If the ICAR-guidelines are the sole basis of the audit, all the core-regulations have to be audited.
- Knock-out criteria have to be defined.



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# ICQ-Questionnaire



## 1.1.1 ICAR general rules on animal identification

1. The recorded animal identity **must be** the animal's official identity in the member country and **must be** unique to that animal.

### Text in the questionnaire:

Is there a unique national identification scheme for the species for which certification is sought? Give details of format and give examples.

### My comment:

This is information-digging besides auditing the guidelines.

### Question:

1. Is the recorded animal's identity the animal's official identity in the member country?

☐ yes ☐ no

2. Is it unique to that animal?

☐ yes ☐ no

Comments:.....



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# Lessons learnt

From the point of an applicant:

For the applicant, especially if it is an umbrella organisation, it means quite a lot of work to fulfill all requirements.

Therefore:

- In case of an umbrella organisation for the main applicant it is absolutely necessary to get the data from all member-organisations.
- For the auditor it is economically not reasonable to study all the sub-papers.
- Therefore the main-applicant has to guarantee, that the sub-organisations fulfill all the requirements.



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# 13 Options for which application may be made

1. The identification system of dairy cattle
2. The identification system of beef cattle
3. The identification system of milking sheep
4. The identification system of milking goats
5. The identification system of buffaloes
6. The recording of production of dairy cattle
7. The recording of production of beef cattle
8. The recording of production of milking sheep
9. The recording of production of milking goats
10. The recording of production of buffaloes
11. The genetic evaluation of dairy cattle
12. Laboratory analysis for ICAR members
13. Data processing work for ICAR members



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# Lessons learnt

Even if you apply for only one option you can get the IQC.

Therefore:

- There should be a minimum of options you have to apply (such as milk recording + data processing).



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# Lessons learnt

The applicant holds an international accreditation for specific areas (ISO-standards)

Therefore:

- In this case we should accept it without further questions (to save time and costs!)
- If the accreditation expires within the lifetime of IQC, the applicant
  - has to show the reaccreditation or
  - has to be audited in this field



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# Lessons learnt

## Recognition of IQC:

In some member countries IQC is recognized by governmental authorities as an official quality-system.

## Lessons learnt:

- This recognition is very important for the reputation of ICAR itself.
- The quality of the audit has to have a high level.



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# Lessons learnt

## Lifetime of IQC:

For the applicant as well as for the auditor it is quite a lot of work to fulfill all the requirements.

## Therefore:

- The lifetime of IQC should be extended from three to at least four years.

# Conclusion

- IQC is very important for the applicant in order to improve the own workflow.
- IQC is important to increase the acceptance of the recorded data within the ICAR-family.
- IQC is a very powerful tool to harmonize the recording system worldwide.



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# Thanks for your attention



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